

May 1, 2011

ALL SOUTHWEST ONCOLOGY GROUP MEMBER, CCOP, UCOP AND TO:

AFFILIATE MEDICAL ONCOLOGISTS, SURGEONS, **RADIATION** ONCOLOGISTS, PATHOLOGISTS AND CLINICAL RESEARCH ASSOCIATES; ACRIN, ECOG, CALGB, NCIC-CTG, NCCTG, RTOG, COG, ACOSOG, NSABP,

EORTC, IBCSG, BMT-CTN, AND CTSU

FROM: Laurence H. Baker, D.O. - Chairman

GUIDANCE ON REPORTING ADVERSE EVENTS TO INSTITUTIONAL RE:

REVIEW BOARDS FOR NIH-SUPPORTED MULTICENTER CLINICAL TRIALS

(http://grants.nih.gov/grants/guide/notice-files/not99-107.html)

Laurence H. Baker, DO

GROUP CHAIR'S OFFICE

CHAIR

24 Frank Lloyd Wright Dr

PO Box 483

Ann Arbor, MI 48106

734-998-7118 FAX

OPERATIONS OFFICE

4201 Medical Dr Suite 250 San Antonio, TX 78229

210-614-8808 210-614-0006 FAX

STATISTICAL CENTER

1730 Minor Ave. Suite 1900

206-342-1616 FAX

1100 Fairview Ave North PO Box 19024 Seattle, WA 98109

206-667-4623 206-667-4408 FAX

All Southwest Oncology Group Phase III studies are monitored by our Data and Safety Monitoring Committee (DSMC). The Group policy regarding the function and composition of the DSMC is Policy #21 - which may be found on the public portion of the Group web site at http://swog.org/Visitors/download/policies/Policy21.pdf.

MEMORANDUM

Copies of this document must be made available to local Institutional Review Boards (IRBs).

As outlined in the "GUIDANCE ON REPORTING ADVERSE EVENTS TO INSTITUTIONAL BOARDS FOR NIH-SUPPORTED MULTICENTER CLINICAL TRIALS (http://grants.nih.gov/grants/guide/notice-files/not99-107.html)", the NIH requires that summary reports of adverse events be communicated from the DSMC to the IRBs at participating institutions.

The interim reports for Southwest Oncology Group studies are posted in the Report of Studies area on the members side of the Group web site at https://swog.org/. This site also contains the deliberations of the DSMC from their most recent meetings. A copy of the deliberations from the April 1, 2011 meeting is attached. The information from this report must be made available to your IRB.

If the DSMC has decided to make recommendations resulting in substantial changes to a study, those changes will be circulated in the form of a protocol amendment or a special notification (e.g., "Dear Physician" letter).

This memorandum serves to notify the NCI and Southwest Oncology Group Statistical Center.

PC/dbs

Enclosure

Cathy M. Tangen, Dr.P.H. CC:

> Nathan Erickson Elaine Armstrong, M.S. Dana B. Sparks, M.A.T. Kati M. Stoermer, M.S.B.A.

swog.org





A National Clinical Research Group

Statistical Center

Fred Hutchinson Cancer Research Center 1100 Fairview Avenue North, M3-C102 Seattle, Washington 98109-1024

Phone: 206/667-4623 FAX 206/667-4408

MEMORANDUM

TO: Dr. Baker, Dr. Crowley and Data and Safety Monitoring Committee

FROM: Cathy Tangen, DrPH

DATE: April 1, 2011

RE: SWOG DSMC – Final minutes of SWOG Data and Safety Monitoring

Committee Meeting of Friday, April 1, 2011

Interim Analysis

- Genitourinary S0421 Docetaxel and atrasentan versus docetaxel and placebo for
 patients with advanced hormone refractory prostate cancer. The DSMC reviewed the
 planned final interim analysis. Based on the observed null result and the extremely
 unlikely chance that this conclusion will change with more events, the DSMC
 recommends this study be reported now instead of the planned final analysis 18 months
 from now.
- Gastrointestinal S0518 A phase III prospective randomized comparison of depot octreotide plus interferon alpha versus depot octreotide plus bevacizumab in advanced, poor prognosis carcinoid patients. The results of the first interim analysis were reviewed, and the DSMC recommends the study continue as planned.
- 3. Cancer Control S0230 A phase III trial of LHRH analog administration during chemotherapy to reduce ovarian failure following chemotherapy in early stage, hormone-receptor negative breast cancer. The results of the first interim analysis were reviewed, and no boundaries have been crossed. The recommendation is that the study should continue, but the DSMC looks forward to a re-evaluation of the study design in fall, 2011 by the study leadership. Reducing the proposed length of the trial would be encouraged.
- 4. Lung S0802 A randomized phase II trial of weekly topotecan with and wihtou AVE0005 in patients with platinum-treated extensive stage small cell lung cancer. The results of the one interim analysis were reviewed, and the DSMC recommends the trial continue as planned.

Timing of Final Analysis

5. Breast S0226 – A phase III randomized trial of anastrozole versus anastrozole and fulvestrant as first line therapy for post menopausal women with metastatic breast cancer. The DSMC gives study leadership permission to prepare an abstract for the San Antonio Breast Cancer Symposium to be held December, 2011. We expect the data to be updated in October as specified in their request.

[&]quot;Premature disclosure of confidential Data and Safety Monitoring Committee interim therapeutic results on SWOG by members of the Data Monitoring Committee will result in censure of that member by the Board of Governors. Censure options will include immediate loss of authorship in the study presentation and publication, decertification of status as a current and future Study Coordinator, and/or removal from leadership in the disease committee of record."

6. Melanoma S0008 – A Phase III Trial of high dose interferon Alpha-2b versus cisplatin, vinblastine, DTIC plus IL2 and interferon in patients with high risk melanoma. The DSMC gives permission for the study leadership to prepare an ASCO 2012 abstract which may include survival results.

External Information

7. Breast S0800 – A randomized phase II trial of weekly nanoparticle albumin bound paclitaxel with or without bevacizumab, either preceded by or followed by Q 2 week AC as neoadjuvant therapy for inflammatory and locally advanced her-2/neu negative breast cancer. The DSMC reviewed the Memorandum – Dear Investigator Letter that was written by CTEP, and they approve of its content. The DSMC encourages the continued careful monitoring of adverse events from this trial.

The following trials were reviewed by mail, not discussed at the meeting, and should proceed with no change:

Breast

S0221

S0307

S0500

S1007

Cancer Control

S0230

S0701

S0715

Gastrointestinal

S0727

Genitourinary

S9346

S0337

S0925

Gynecologic

S0904

Lung

S0819

Myeloma

S0777

The next DSMC meeting will be held at the downtown Chicago Hyatt on Friday, October 14, 2011.

[&]quot;Premature disclosure of confidential Data and Safety Monitoring Committee interim therapeutic results on SWOG by members of the Data Monitoring Committee will result in censure of that member by the Board of Governors. Censure options will include immediate loss of authorship in the study presentation and publication, decertification of status as a current and future Study Coordinator, and/or removal from leadership in the disease committee of record."